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CHARLES ELMONE CARPLEY

IN THE

### Supreme Court of the United States

October Term, 1942.

No. 678

CHARLES P. BOUCHER and BOUCHER INVENTIONS, LTD., Petitioners,

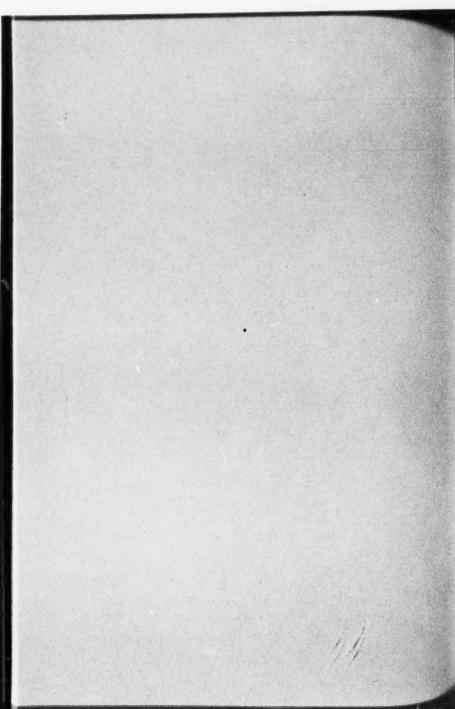
V

JOSEPH G. SOLA and SOLA ELECTRIC COMPANY, Respondents.

PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA.

JOHN HOWARD JOYNT, HERBERT S. WARD, SCOTT D. KELLOGG,

Attorneys for Petitioners.



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### Supreme Court of the United States

October Term.

No. .....

CHARLES P. BOUCHER and BOUCHER INVENTIONS, LTD., Petitioners,

٧.

JOSEPH G. SOLA and SOLA ELECTRIC COMPANY, Respondents.

# PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA.

To the Honorable, the Chief Justice and the Associate Justices of the Supreme Court of the United States:

Your petitioners, Charles P. Boucher and Boucher Inventions Ltd., respectfully pray that a writ of certiorari issue out of this Court to review the judgment of the United States Court of Appeals for the District of Columbia entered in the above-entitled cause on June 30, 1942, wherein it erroneously affirmed judgment of the District Court of the United States for the District of Columbia dismissing plaintiffs' complaint.

### Opinions of Courts Below.

The decision of the United States Court of Appeals for the District of Columbia, rendered June 30, 1942, is reported in 131 F(2) 225, USPQ 64. The opinion of the United States District Court for the District of Columbia is reported in 35 F. Supp. 504, 48 USPQ 56.

#### Jurisdiction.

The decision of the Court of Appeals was rendered June 30, 1942, and judgment entered on the same date. A petition for rehearing was filed July 15, 1942. This was denied November 30, 1942.

The jurisdiction of this Court is invoked under the provisions of Section 240 (a) of the Judicial Code, as amended by the Act of February 13, 1925.

### Questions Presented.

The questions presented are reduced to two in number:

- (1) The United States Court of Appeals, by a two-to-one decision, has unsettled and reversed the law as previously declared and enforced in all of the Federal Courts by holding that: In ascertaining the date when an invention has been completed (reduced to practice) a test made during the litigation reverts back to aid an early, incomplete, cursory and unsatisfactory demonstration, and establish a reduction to practice nunc pro tune, as of the early date.
- (2) The United States Court of Appeals, by a two-to-one decision, has unsettled and reversed the law as previously established in all Federal jurisdictions and has construed Section 4915 of the R. S. contrary to its

obvious intent, and contrary to all previous Federal interpretations thereof, by holding that: Evidence of invention not previously submitted before the Patent Office shall be excluded by the trial court, irrespective of the absence of any showing of a purposeful withholding of that evidence in the Patent Office.

#### Statute Involved.

Revised Statutes, title LX, section 4915 (U.S.C., title 35, section 63) the pertinent portions of which appear as follows:

"Whenever a patent on application is refused by the Commissioner of Patents, the applicant, unless appeal has been taken from the decision of the Board of Appeals to the United States Court of Customs and Patent Appeals, and such appeal is pending or has been decided, in which case no action may be brought under this section, may have remedy by bill in equity, if filed within six months after such refusal; and the court having cognizance thereof, on notice to adverse parties and other due proceedings had, may adjudge that such applicant is entitled, according to law, to receive a patent for his invention, as specified in his claim or for any part thereof, as the facts may appear \* \* \* In all suits brought hereunder where there are adverse parties the record in the Patent Office shall be admitted in whole or in part, on motion of either party, subject to such terms and conditions as to costs, expenses, and the further cross-examination of the witnesses as the court may impose, without prejudice, however, to the right of the parties to take further testimony. The testimony and exhibits, or parts thereof, of the record in the Patent Office when admitted shall have the same force and effect as if originally taken and produced in the suit."

### Statement.

The present controversy arises from an action to obtain Letters Patent of the United States. It involves the determination of inventorship between two conflicting parties. The particular subject matter involved is a complicated and improved electrical transformer suited to the operation of neon signs.

On September 20, 1935, Boucher filed in the Patent Office an application for patent describing and claiming the neon sign transformer. Prior to this, on August 27, 1935, defendant Sola had filed a similar application.

An interference was declared by the Patent Office under Section 4904 R. S. making Sola the senior party and Boucher the junior party. Upon evidence submitted, it was held that Boucher had failed to make a prima facie case of reduction to practice prior to the filing date of the Sola application and priority was awarded to Sola on the basis of his earlier filing date. There was no determination by the Patent Office of the actual date of inventorship by Sola or by Boucher.

Following the Patent Office proceeding, an action was brought by your petitioners in the United States District Court for the District of Columbia under the provisions of section 4915 R. S. for the purpose of determining the date of reduction to practice. Additional evidence was submitted by both parties. The court held, upon all of the evidence, that Sola had completed his invention in 1933, a date over two years prior to his filing date and prior to the completion of the invention by Boucher and, accordingly, dismissed the action of your petitioners.

Appeal then was taken to the United States Court of Appeals for the District of Columbia wherein the court, in a two-to-one decision, upheld the lower court in its dismissal of the suit. The majority (Vinson and Rutledge, JJ.) held,

however, that while the tests conducted on the Sola transformer in 1933 may not have been complete, yet further tests conducted on the transformer at the time of the trial in the lower court (1940) related back to the tests in 1933 to establish a reduction to practice (completion of invention) as of 1933.

The dissenting opinion by presiding Justice Stephens follows the established law in all Federal jurisdiction and holds that tests at the time of the trial may not be related back for the purpose of establishing a completion of invention; that the law recognizes no such thing as the reduction to practice nunc pro tunc. Mr. Justice Stephens states the established law most ably as follows:

"The majority take the view that the 1933 tests may, however, be validated by considering them in connection with the test made of Exhibit 5 at the time of the trial in 1940 \* \* \* But however successful the test made at the time of the trial may be said to have been, it is in my opinion erroneous to permit this test to apply nunc pro tunc so as to validate the insufficient tests of The question involved in an issue of reduction to practice is not whether an invention would theoretically work but whether it actually did operate successfully.2 Mason v. Hepburn, 13 App. D. C. 86 (1898); Hunter v. Strikeman, 13 App. D. C. 214 (1898); Paul v. Hess, 24 App. D. C. 462 (1905); Gallagher v. Hien, 25 App. D. C. 77 (1905); O'Connell v. Schmidt, 27 App. D. C. 77 (1906); Wickers v. McKee, 29 App. D. C. 4 (1907); Sydeman v. Thoma, 32 App. D. C. 362 (1909); Pool v. Dunn, 34 App. D. C. 132 (1909); Henderson v. Gilpin, 39 App. D. C. 428 (1912); Malcolm v. Richards. 47 App. D. C. 582 (1918). The following quotation from Henderson v. Gilpin, Supra, reflects the law expressed in these cases:

"" \* \* It is not enough \* \* \* that these \* \* \* tests indicated that the operation of the device would be suc-

<sup>&</sup>lt;sup>4</sup> There is an exception not applicable here in the case of very simple machines whose mere construction is accepted by the patent law as a demonstration of workability.

cessful. To constitute reduction to practice, a test must amount to a demonstration in fact, as contradistinguished from one in theory. (39 App. D. C. at 431)" (page 5.)

Moreover, the majority opinion holds that important evidence not produced before the Patent Office may be presumed to have been purposefully suppressed or withheld and may not be admitted in an action under R. S. section 4915. Thus:

"\*\* \* It seems impossible that an exhibit of such importance could have been overlooked or left out by inadvertence \* \* \* While the 4915 suit is de novo and permits introduction of evidence not presented to the Patent Office, it does not contemplate the suppression or the withholding of evidence so readily available and of such importance as was Exhibit AR or oversight of such glaring proportions. 5" (Page 3.)

On this point, Mr. Justice Stephens' dissenting opinion holds that any presumption of a withholding of evidence in the Patent Office proceeding is improper, particularly since such a withholding is unsupported by, and is in fact contrary to, the evidence on this point. Mr. Justice Stephens says:

"I disagree with that part of the majority opinion which implies, although it does not conclusively rule, that the trial court ought not have permitted Boucher to introduce at the trial evidence of the test of Exhibit AR for the reason that such evidence was not introduced in the interference proceeding in the Patent Office. I think this implication of error not supportable. At the trial Boucher explained that the reason he did not introduce this evidence in the Patent Office

<sup>&</sup>lt;sup>6</sup> Greene v. Beidler, 58 F. (2d) 207, 209 (C. C. A. 2d, 1932) Barrett Co. v. Koppers Co., 22 F. (2d) 395, 397 (C. C. A. 3d, 1927); O'Donnell v. United Shoe Machinery Corp., 2 F. Supp. 178, 181 (D. Mass. 1933); cf. Perkins v. Lawrence Sperry Aircraft Co., 57 F. (2d) 719, 720 (E. D. N. Y. 1932); Dowling v. Jones, 67 F. (2d) 537, 538 (C. C. A. 2d, 1933); Wright v. Runge, 31 F. Supp. 844 (D. C. 1939).

proceeding was that he did not at that time realize the value of proving in an interference proceeding that his invention had been completely finished and embodied in commercial form. The trial judge apparently accepted this explanation as true. He ruled that in view of the fact that Boucher had not omitted to introduce the evidence in the Patent Office proceeding with an intention of concealment the evidence was properly admissible at the trial. The judge relied upon a former decision of his own in Wright v. Runge, 31 Fed. Supp. 844. I think the judge's ruling was correct. Proceedings under Rev. Stat. §4915 are de novo; that is, that section gives the parties a right to introduce evidence other than that which was introduced in the Patent Office proceedings. The cases relied upon by Sola as requiring the exclusion of the evidence in question spring from the case of Barrett Co. v. Koppers Co., 20 F. (2d) 395 (C.C.A. 3d, 1927). In that case the party plaintiff in a §4915 proceeding had refused to allow his witness to testify in the Patent Office to certain matters relevant to an issue of priority. He sought to introduce the testimony of these witnesses on these same matters in the §4915 suit but was forbidden to do so. On appeal that exclusion was sustained. The Circuit Court of Appeals held that, in view of the suppression of the evidence in question in the Patent Office proceeding, to allow its introduction in the §4915 proceeding would be to permit the party offering the evidence to profit by its own "technical wrong-doing." It is evident that the decision was reached upon a theory of estoppel, or of quasi-estoppel, which the court based upon the losing party's intentional wrong-doing \* \* \* In Wright v. Runge, cited above, the trial judge, after pointing out that the plaintiff in that case had not deliberately suppressed evidence, said:

<sup>&</sup>quot;'It may well be that the plaintiff might be estopped from offering testimony here that he had suppressed in the Patent Office, but the mere failure to exercise the highest degree of diligence in producing evidence before the Patent Office would not, in my opinion, prevent its introduction here.

<sup>&</sup>quot;While it might have been possible in the instant case for the plaintiff to have procured some of the evidence introduced here before the Patent Office, I do not think

that his failure to do so estopped him from offering it here. There is no showing that the defendant was surprised as to its introduction, nor that he could produce evidence to overcome its effect. (31 F. Supp. at 845)'

I think the decision in Wright v. Runge was correct and that we cannot say in the instant case that the trial judge ought not have believed Boucher's explanation of his omission to introduce the evidence in question in the Patent Office proceeding." (pages 6 and 7)

### Specification of Errors.

The United States Court of Appeals for the District of Columbia erred:

(1) In holding that tests made in 1940 many years after the filing of the Sola application for patent (constructive reduction to practice) may be related back to certain insufficient tests made in 1933, over two years prior to his application, to establish an actual reduction to practice as of 1933.

(2) In holding that evidence not presented by plaintiff in the Patent Office proceeding which was received by the lower court should have been excluded.

(3) In failing to find that that evidence was admissible and as such established a completion (reduction to practice) of the invention by plaintiff below, Boucher, in advance of the filing date of Sola.

(4) In not reversing the decision of the United States District Court and remanding the cause for further findings of fact.

### Reasons Relied on for Issuing the Writ.

The discretionary power of the court to grant a Writ of Certiorari is invoked upon the following grounds:

(1) That the opinions of the United States Court of Appeals for the District of Columbia in actions under

- R. S. section 4915 are looked to as authority since more actions of that type are disposed of by that court than the courts of all other jurisdictions taken together.
- (2) That the United States Court of Appeals for the District of Columbia, in holding that the tests in 1940 at the time of trial relate back to tests in 1933 to establish a reduction to practice as of 1933 (reduction to practice "nunc pro tunc"), has unsettled the well-established law on the subject in all Federal jurisdictions.\*
- (3) That the decision complained of has opened the door to abuse, which will validate patents which under previous decisions would be viewed as invalid. Logically, under the instant decision, publications and public uses subsequent to an early imperfect testing of a device but prior to the filing of the ap-

<sup>\*</sup> A device is reduced to practice when its practicability is demonstrated,-Corona v. Dovan, 276 U. S. 358; Paul v. Hess, 24 App. D. C. 462. Practicability of the physical embodiment is involved.—Bissell v. Phelps, 50 App. D. C. 261; Burson v. Vogel, 29 App. D. C. 388; Gallagher v. Hien, 25 App. D. C. 77; Sydeman v. Thoma, 32 App. D. C. 362; Ocumpaugh v. Norton, 25 App. D. C. 90. Test must demonstrate practicability.-Hunter v. Stikeman, 13 App. D. C. 214; Sherwood v. Drewson, 29 App. D. C. 161; Gallagher v. Hien, 25 App. D. C. 77; Sydeman v. Thoma, 32 App. D. C. 362; Mason v. Hepburn, 13 App. D. C. 86; Robinson v. Thresher, 28 App. D. C. 22; Lindemeyr v. Hoffman, 18 App. D. C. 1; Daggett v. Kaufman, 33 App. D. C. 450; Paul v. Hess, 24 App. D. C. 462; O'Connell v. Schmidt, 27 App. D. C. 77; Hadley v. Ellis, 49 App. D. C. 84; Crabbs v. Wardell, 57 App. D. C. 241; Henderson v. Gilpin, 39 App. D. C. 428; Miehle v. Scott, 40 App. D. C. 17; Barcley v. Schuler, 41 App. D. C. 250; Jobski v. Johnson, 47 App. D. C. 230; Malcom v. Richards, 47 App. D. C. 582; Derr v. Gleason, 49 App. D. C. 69; Fageol v. Lyon, 53 App. D. C. 361; Mock v. Johnson, 52 App. D. C. 300. Manner of operation during test must be as intended for device. -Janin v. Curtiss, 45 App. D. C. 362; McKenzie v. Cummings, 24 App. D. C. 137. Date of reduction to practice is when device is adequately demonstrated; the patent law recognizes no such thing as reduction to practice nunc pro tunc. -Derr v. Gleason, 49 App. D. C. 69; Miehle v. Scott, 40 App. D. C. 17; Reichel v. Dorset, 49 App. D. C. 198; Urschel v. Crawford, 22 C.C.P.A. 727-73 Fed. Rep. (2nd) 510; Hammers v. Bethenod, 20 C.C.P.A. 744-61 Fed. Rep. (2nd) 1011; Payne v. Hurley, 21 C.C.P.A. 1144-71 Fed. Rep. (2nd) 208.

plication for patent by the testor (which publications and uses heretofore under existing law would defeat the testor's application) would be set at naught by relating the subsequent complete testing to the earlier imperfect testing, as establishing a "reduction to practice," as of the early date.

- (4) That the United States Court of Appeals for the District of Columbia, in holding that the additional evidence of invention submitted by Boucher under the circumstances shown by the evidence should not be received, departs from the specific language of section 4915 R. S. providing for a trial de novo and departs from all previous interpretations placed upon that statutory provision by the Federal Courts.\*\*
- (5) That the United States Court of Appeals for the District of Columbia, in suggesting that such further evidence had been wilfully withheld, and inadmissible as such, based its suggestion on speculation and not on the evidence and, in that respect, so far departed from the accepted and usual course of judicial proceedings as to call for the exercise by this court of its power of supervision.

\*\* R. S. section 4915 provides, in part, "In all suits brought hereunder where there are adverse parties the record in the Patent Office shall be admitted in whole or in part, on motion of either party, and the further cross-examination of the witnesses as the court may impose, without prejudice, however, to the right of the parties to take further testimony."

The courts long have recognized an action under R. S. section 4915, as distinguished from an appeal to the Court of Customs and Patent Appeals, as a trial de novo wherein further testimony is admissible. See Sharp v. Coe 125 F (2) 185 (App. D. C. 1941); Paulsen v. Coe, 119 F (2) 188 (App. D. C. 1941); American Steel & Wire Co. v. Coe, 105 F (2) 17 (App. D. C. 1939); General Talking Pictures v. American Tri-Ergon, 96 F (2) 800 (CCA 3, 1938); Globe-Union v. Chicago Telephone, 103 F (2) 722 (CCA 7, 1939); Powell v. McNamara, 74 F (2) 750 (CCA 2, 1935); Nichols v. Minnesota Mining Co., 109 F (2) 162 (CCA 4, 1940); Walker on Patents, Deller's Edition, Volume II, page 970 and especially 1942 Supplement.

#### CONCLUSION.

Counsel respectfully submit that this cause cannot be more forcefully stated or ably briefed than appears in the dissenting opinion of Mr. Justice Stephens which is incorporated by reference.

Since, therefore, the majority opinion of the United States Court of Appeals for the District of Columbia unsettles the law in all jurisdictions for determining date of inventorship, opens the door to uncertainties and abuses excluded by all previous decisions, and unsettles, the law interpreting section 4915 R. S. regarding the admissibility of evidence by interpretive legislation contrary to all previous Federal interpretations of this section, it is respectfully submitted that the issues are so far-reaching, disturbing, and so vitally affect national and individual interests, vested and inchoate, as to justify a revision by this Court.

WHEREFORE, it is respectfully submitted that this petition for a Writ of Certiorari should be granted.

JOHN HOWARD JOYNT, HERBERT S. WARD, SCOTT D. KELLOGG, Attorneys for Petitioners.

Washington, D. C. January 16, 1943.



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IN THE

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### Supreme Court of the United States

OCTOBER TERM, 1942.

No. 623

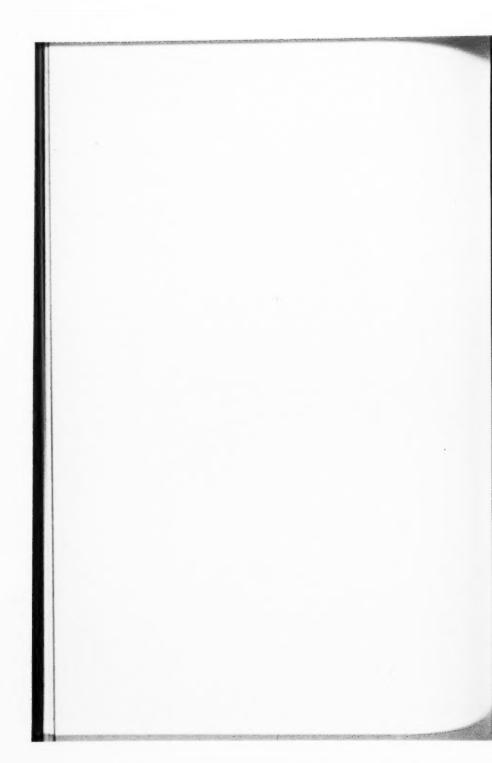
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V

JOSEPH G. SOLA and SOLA ELECTRIC COMPANY, Respondents.

# BRIEF FOR RESPONDENTS IN OPPOSITION TO PETITION FOR WRIT OF CERTIORARL

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### Supreme Court of the United States

#### OCTOBER TERM, 1942.

No. .....

### CHARLES P. BOUCHER and BOUCHER INVENTIONS, LTD.,

Petitioners,

V.

### JOSEPH G. SOLA and SOLA ELECTRIC COMPANY.

Respondents.

## BRIEF FOR RESPONDENTS IN OPPOSITION TO PETITION FOR WRIT OF CERTIORARI.

### Statement.

The controversy at bar arises out of a conflict between rival inventors as to priority of invention. The contest originated as an interference in the Patent Office where both the Patent Office Examiner of Interferences and the Board of Appeals found in favor of respondent Sola<sup>1</sup>

Petitioners apparently have no quarrel with the decisions of the Patent Office. They attempt to avoid their effect as follows (Petition p. 4):

<sup>&</sup>quot;There was no determination by the Patent Office of the actual date of invention by Sola or by Boucher."

(R.A. 204, 210²). Thereafter petitioners filed their action in the United States District Court for the District of Columbia under Revised Statutes Section 4915 (35 U. S. C. A. Sec. 63) where the same issues were tried. The decision was again in favor of Sola (35 F. Supp. 504) and that decision was affirmed by the Court of Appeals for the District of Columbia (131 F. (2d) 225). Petitioners now seek further review.

### Concurrent Findings of Fact Not Reviewable.

From the outset, petitioners are confronted with the hurdle that four lower tribunals in four consecutive decisions have found the issues against them. The trial Court (Bailey, J.) found as a fact that Respondent Sola was the first to conceive and the first to reduce to practice and therefore the prior inventor (Finding of Fact No. 14, P.A. 16). The Court of Appeals refused to disturb this finding. This Court has said repeatedly that if concurrent findings of fact of the courts below are not shown to be plainly erroneous or unsupported by evidence, they will be accepted as a conclusive basis for decision. Virginia Railway Co. v. System Federation No. 40, et al., 300 U. S. 515; Page, Trustee v. Arkansas Natural Gas Corp., 286 U. S. 269. We believe the petition in this case presents fact questions only.

<sup>&</sup>lt;sup>2</sup> The pertinent portions of the record are contained in the appendices to the briefs of the parties in the Court below which by stipulation constitute the record for purposes of this petition. For convenience Petitioners' Appendix will be referred to as P.A. and Respondents' as R.A.

### Points Presented by the Petitioners.

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The questions this Court is asked to review are stated on page 2 of the Petition under the title "Questions Presented." These form the basis for the "Specification of Errors" listed in the Petition (page 9). Taken together they show clearly that petitioners' only complaint of the opinion below is that the Court considered improper evidence in arriving at its conclusions, and that it ruled against the admission of evidence not previously submitted to the Patent Office, both of which actions petitioners contend reverse the law "as previously established." A reading of the majority opinion (per Justice Rutledge) in the light of the record discloses that petitioners are entirely mistaken in their contentions.

#### POINT I.

### OPINION BELOW DOES NOT REVERSE ESTABLISHED LAW.

### NO NEW NUNC PRO TUNC DOCTRINE ASSERTED.

The invention in issue relates to a transformer for neon and other luminous tubes containing means for preventing the establishment of a destructive overload current through a secondary coil section should it become short circuited for a few hours. At the trial Sola, admittedly first to conceive, introduced in evidence a model of his invention, Exhibit 5, built and successfully tested in 1933. Petitioners protested. They questioned the sufficiency of the 1933 test (of 15 minutes' duration) and insistently demanded that Exhibit 5 be subjected to a further test (P.A. 60). Sola, in view of the insistent demand, consented to a further test and for six hours Exhibit 5, then many years old, operated with complete success.<sup>3</sup>

<sup>&</sup>lt;sup>3</sup> By agreement the test was run during an afternoon when the court was not in session (Finding of Fact No. 16, P.A. 16).

The notes of this test showing operating and other data were offered in evidence by Sola. Upon demand of counsel for petitioners, these notes were introduced in evidence as Petitioners' Exhibit BC (P.A. 78).

The Court's consideration of the test, which was made only upon Petitioners' continued and persistent demand and which is in evidence as a petitioners' Exhibit, is now made the basis for the Petition, as constituting a reversal of "established" law and a nunc pro tunc reduction to practice. The record supports neither proposition. Nor can they find support in law.

### (a) Established Law Neither Unsettled Nor Reversed.

Petitioners present objection to the test made during the trial and their then insistent demand that such test should be made can hardly be reconciled. It would appear that the only reason for such objections now is that lacking other and more substantial grounds for certiorari, Petitioners are compelled to use the grounds stated as the only ones available. They do not warrant the granting of the writ.

By any true analysis it must be apparent that the reasons assigned for review stated in the Petition deal only with a consideration of facts and the application of rules of evidence. Apart from the camouflage which surrounds it, the only point that can be spelled out of Question I (Petition page 2) is that Petitioners object to the probative effect given by the Court to the evidence introduced by them and adduced from the test made upon their own insistent demand. We point out that such evidence could serve only to negative or substantiate the operativeness of the device first tested in 1933. The Court of Appeals recognized that fact and used the evidence accordingly.

In stating the question, however, petitioners charge that the Court in so giving it probative force "unsettled" and "reversed" the law as previously declared. Nothing presented in the petition substantiates that charge. On the other hand the rules of this Court required its admission and its decisions authorized its use. Supreme Court Rule 16 provides as follows:

"In all cases of equity or admiralty jurisdiction, heard in this court, no objection to the admissibility of any deposition, deed, grant, or other exhibit found in the record as evidence shall be entertained, unless such objection was taken in the court below and entered of record. Where objection was not so taken the evidence shall be deemed to have been admitted by consent."

Wigmore affirms the general application of this rule:

"(3) A failure to object at one trial precludes the opponent at any subsequent trial from further objection, for the reason and to the extent that a failure to object before the first trial would have precluded him. And of course no objection at all will be heard when made for the first time in the court of appeal."

Wigmore on Evidence, 3rd Ed. Sec. 18 (3), p. 330.

No objection was made to the evidence by Petitioners at the trial. On the contrary it was they who insisted that the evidence be taken and introduced it in evidence. Having formerly insisted upon its production, and made the evidence their own, they cannot now question its competency. Professor Wigmore declares (Wigmore on Evidence, 3rd Ed. Sec. 18, p. 321):

"The initiative in excluding improper evidence is left entirely to the opponent,—so far at least as concerns his right to appeal on that ground to another tribunal. The judge may of his own motion deal with offered evidence; but for all subsequent purposes it must appear that the opponent invoked some rule of Evidence. A rule of Evidence not invoked is waived."

In Diaz v. United States, 223 U. S. 442, the exact point raised here was decided. There the defendant was convicted of homicide, and one of the grounds for appeal was that he had been deprived of his right to meet the witnesses face to face because his conviction for homicide was rested in part upon testimony produced before a Justice of the Peace on his previous trial for assault and battery. The Court disposed of this ground in the following language (page 450):

In these circumstances the testimony was rightly treated as admitted generally, as applicable to any issue which it tended to prove, and as equally available to the Government and the accused. Sears v. Starbird, 78 California, 225, 230; Diversy v. Kellogg. 44 Illinois, 114, 121. True, the testimony could not have been admitted without the consent of the accused, first, because it was within the rule against hearsay and, second, because the accused was entitled to meet the witnesses face to face. But it was not admitted without his consent, but at his request, for it was he who offered it in evidence. So, of the fact that it was hearsay, it suffices to observe that when evidence of that character is admitted without objection it is to be considered and given its natural probative effect as if it were in law admissible. Damon v. Carrol, 163 Massachusetts, 404, 408; Sherwood v. Sissa, 5 Nevada, 349, 355; United States v. McCon. 193 U. S. 593, 598; Schlemmer v. Buffalo &c. Ry. Co., 205 U. S. 1, 9; Neal v. Delaware, 103 U. S. 370, 396; Foster v. United States, 178 Fed. Rep. 165, 176." cf. Gering v. Leyda, 186 Fed. 110, 113.

So in the case at bar, Petitioners requested the evidence now complained of and it was received without objection.

<sup>\*</sup> Emphasis by the author.

The Court below only gave to such evidence "its natural probative effect" and hence did not unsettle or reverse "established" law.4

### (b) No New Nunc Pro Tunc Doctrine Promulgated.

The conclusion asserted in the Petition that the Court of Appeals declared a new nunc pro tunc doctrine as to reduction to practice is also fallacious. Answering Petitioners' oral argument that the now complained of test could not relate back to show that Respondents' invention was reduced to practice in 1933, the Court of Appeals specifically stated (Opinion page 3):

"Whatever might be true under other circumstances, plaintiff is in no situation to urge this position after demanding and insisting upon the test, and then insisting it continue beyond the agreed time, when the result was not to his liking."

In so holding, the Court was applying a settled rule of evidence. In *Diaz* v. *United States*, 223 U. S. 442, previously cited, this Court in overruling an objection that defendant had been deprived of the right to meet the witnesses face to face, said (p. 449):

"\* \* But this objection overlooks the circumstances in which the record wherein that testimony was set forth was received in evidence."

This Court also cited with approval and quoted (pages 451-2) from *People* v. *Murray*, 52 Michigan, 288, where Judge Cooley ruled on a similar objection as follows:

<sup>&</sup>lt;sup>4</sup>The cases cited in the footnote on page 9 of the Petition have no bearing whatsoever on the issues here presented. They represent merely a collection of authorities on reduction to practice but they do show—most significantly—that the facts in each case are determinative.

"" " The defendant undoubtedly had a constitutional right to be confronted with his witnesses. He waived that right in this case, apparently for his own supposed advantage and to obtain evidence on his own behalf. It would have been a mere impertinence for the court to have interfered and precluded this stipulation being acted upon. But it would have been more than an impertinence; it would have been gross error. And it would be palpable usurpation of power for us now to set aside a judgment for a neglect of the court not at the time complained of, but in respect to something where any other course would have been plain error."

Petitioners may have had the right to exclude the complained of evidence had Respondents offered it as part of their case but having themselves insisted that it be presented they cannot now object to it.

The evidence of the successful 1940 test was used by the Court below as confirmatory of other evidence establishing a reduction to practice in 1933. In the light of the circumstances in which the complained of evidence was adduced and received by the Court, the confirmatory probative value given thereto does not constitute a declaration of a new doctrine of nunc pro tunc reduction to practice.

#### POINT II.

### COURT OF APPEALS HAS NOT UNSETTLED OR RE-VERSED THE RULES OF EVIDENCE IN 4915 ACTIONS.

This point is *moot*, as clearly appears from the Opinion. We call attention to the fact, however, that as this question is presented in the petition (Question 2 of Questions Presented, Petition pages 2-3), it misstates the issue. No new rule of law is set forth in the Court's decision.

The majority of the Court were of the opinion that in failing to offer their pertinent Exhibit AR in the Patent Office Interference, Petitioners were guilty of such suppression of this evidence as required denial of its admission in the District Court in the 4915 action (Rev. Stats. Sec. 4915, 35 U. S. C. A. Sec. 63). Authority for such holding is legion. Barrett Co. v. Koppers, 22 F. (2d) 395; Greene v. Beidler, 58 F. (2d) 207; Dowling v. Jones, 67 F. (2d) 537.

The District Court (Justice Bailey) admitted the evidence following the decision in Wright v. Runge, 31 F. Supp. 844. The majority of the Court of Appeals were apparently of the opinion that the facts in this case more nearly paralleled the facts in Barrett Co. v. Koppers, supra, and cases similar. It is simply a matter of judgment in the light of all of the evidence and the circumstances of each case.

In any event it can afford no ground for the granting of the writ for the Court's remarks on this point were not necessary in the decision of the case. The opinion states (pages 3 and 4):

"\* \* We have added these views, first, to indicate that if the evidence concerning Sola's reduction to practice were less conclusive than it is, still there would be serious question whether the record contains admissible evidence to show that Boucher reduced the invention to practice prior to the filing of Sola's application. We have added them also, though not strictly necessary for decision of the cause, to avoid by admonition, if possible, the necessity for making decision on such a ground in another case, in which the consequences might be more serious."

### PETITION PRESENTS NO QUESTION OF PUBLIC IMPORTANCE.

We have demonstrated that the Petition seeks a review of evidentiary facts and contains no question of public importance which requires the granting of certiorari, General Talking Pictures Corp. v. Western Electric Co. et al., 304 U. S. 175, 178. This Court frowns upon such petitions. In Southern Power Co. v. North Carolina Public Service Co., et al., 263 U. S. 508, the Court dismissed a writ after it had been granted because on the argument it developed "that the controverted question was whether the evidence sufficed to establish actual dedication of petitioner's property to public use—primarily a question of fact." A similar question of fact, i.e., actual reduction to practice of an invention is presented in this case.

In United States v. Johnston, 268 U. S. 220, Mr. Justice Holmes stated (page 227):

"\* \* We do not grant a certiorari to review evidence and discuss specific facts."

That is exactly what the present petition seeks to do. Obviously, no matter of public importance is presented. This is emphasized by the fact that all tribunals which have passed upon this controversy found in favor of Respondents.

<sup>&</sup>lt;sup>5</sup> The Court there impliedly admonished counsel for failing to clearly present the controverted question in the petition and thus wasting the Court's time.

#### CONCLUSION.

It is respectfully submitted that no grounds for the granting of certiorari being present, the Petition should be denied.

Respectfully submitted,

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